

## RAILROAD RETIREMENT BOARD

## Agency Forms Submitted for OMB Review, Request for Comments

Summary: In accordance with the Paperwork Reduction Act of 1995 (44 U.S.C. Chapter 35), the Railroad Retirement Board (RRB) is forwarding three Information Collection Requests (ICR) to the Office of Information and Regulatory Affairs (OIRA), Office of Management and Budget (OMB). Our ICR describes the information we seek to collect from the public. Review and approval by OIRA ensures that we impose appropriate paperwork burdens.

The RRB invites comments on the proposed collections of information to determine (1) the practical utility of the collections; (2) the accuracy of the estimated burden of the collections; (3) ways to enhance the quality, utility, and clarity of the information that is the subject of collection; and (4) ways to minimize the burden of collections on respondents, including the use of automated collection techniques or other forms of information technology. Comments to the RRB or OIRA must contain the OMB control number of the ICR. For proper consideration of your comments, it is best if the RRB and OIRA receive them within 30 days of the publication date.

Title and purpose of information collection: Employer Service and Compensation Reports;
 OMB 3220-0070.

Section 2(c) of the Railroad Unemployment Insurance Act (RUIA) specifies the maximum normal unemployment and sickness benefits that may be paid in a benefit year. Section 2(c) further provides for extended benefits for certain employees and for beginning a benefit year early for other employees. The conditions for these actions are prescribed in 20 CFR 302.

All information about creditable railroad service and compensation needed by the RRB to

administer Section 2(c) is not always available from annual reports filed by railroad employers

with the RRB (OMB 3220-0008). When this occurs, the RRB must obtain supplemental

information about service and compensation.

The RRB utilizes Form UI-41, Supplemental Report of Service and Compensation, and Form

UI-41a, Supplemental Report of Compensation, to obtain the additional information about

service and compensation from railroad employers. Completion of the forms is mandatory.

One response is required of each respondent.

Previous Requests for Comments: The RRB has already published the initial 60-day notice (78

FR 33133 on June 3, 2013) required by 44 U.S.C. 3506(c)(2). That request elicited no

comments.

Information Collection Request (ICR)

<u>Title</u>: Employer Service and Compensation Reports

OMB Control Number: 3220-0070

Forms submitted: UI-41 and UI-41a

<u>Type of request</u>: Extension without change of a currently approved collection

Affected public: Private Sector; Businesses or other for profits

Abstract: The reports obtain the employee's service and compensation for a

period subsequent to those already on file and the employee's base

year compensation. The information is used to determine the

entitlement to and the amount of benefits payable.

<u>Changes proposed</u>: The RRB proposes no revisions to the forms in the collection.

The burden estimate for the ICR is as follows:

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Form Number	Annual Responses	Time (Minutes)	Burden (Hours)
UI-41	350	8	47
UI-41a	100	8	13
Total	450		60

2. *Title and purpose of information collection:* Supplement to Claim of Person Outside the United States; OMB 3220-0155.

Under the Social Security Amendments of 1983 (Public Law 98-21), which amends Section 202(t) of the Social Security Act, effective January 1, 1985, the Tier I or the overall minimum (O/M) portion of an annuity, and Medicare benefits payable under the Railroad Retirement Act to certain beneficiaries living outside the U.S., may be withheld. The benefit withholding provision of P.L. 98-21 applies to divorced spouses, spouses, minor or disabled children, students, and survivors of railroad employees who (1) initially became eligible for Tier I amounts, O/M shares, and Medicare benefits after December 31, 1984; (2) are not U.S. citizens or U.S. nationals; and (3) have resided outside the U.S. for more than six consecutive months starting with the annuity beginning date. The benefit withholding provision does not apply, however to a beneficiary who is exempt under either a treaty obligation of the U.S., in effect on August 1, 1956, or a totalization agreement between the U.S. and the country in which the beneficiary resides, or to an individual who is exempt under other criteria specified in P.L. 98-21.

RRB Form G-45, Supplement to Claim of Person Outside the United States, is currently used by the RRB to determine applicability of the withholding provision of P.L. 98-21. Completion of the form is required to obtain or retain a benefit. One response is requested of each respondent.

Previous Requests for Comments: The RRB has already published the initial 60-day notice (78 FR 33134 on June 3, 2013) required by 44 U.S.C. 3506(c)(2). That request elicited no comments.

## **Information Collection Request (ICR)**

<u>Title</u>: Supplement to Claim of Person Outside the United States

OMB Control Number: 3220-0155

Form(s) submitted: G-45

<u>Type of request</u>: Extension without change of a currently approved collection

Affected public: Individuals or Households

Abstract: Under Public Law 98-21, the Tier I or the overall minimum portion of an

annuity and Medicare benefits payable under the Railroad Retirement

Act to certain beneficiaries living outside the United States may be

withheld. The collection obtains the information needed by the Railroad

Retirement Board to implement the benefit withholding provisions of

P.L. 98-21.

<u>Changes proposed</u>: The RRB proposes no changes to Form G-45.

The burden estimate for the ICR is as follows:

Form Number	Annual Responses	Time (Minutes)	Burden (Hours)
G-45	100	10	17

Additional Information or Comments: Copies of the forms and supporting documents can be obtained from Dana Hickman at (312) 751-4981 or <a href="mailto:Dana.Hickman@RRB.GOV">Dana.Hickman@RRB.GOV</a>.

Comments regarding the information collection should be addressed to Charles Mierzwa, Railroad Retirement Board, 844 North Rush Street, Chicago, Illinois, 60611-2092 or

Charles.Mierzwa@RRB.GOV and to the OMB Desk Officer for the RRB, Fax: 202-395-6974,

E-mail address: OIRA Submission@omb.eop.gov.

Charles Mierzwa
Chief of Information Resources Management

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